



## Book III - Service providers

### Title I - Investment services providers

#### Chapter II - Organisational rules

##### Section 1 - Compliance system

### General regulation of the AMF

#### Article 312-1 into force since 03 January 2018

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#### Article 312-1

To ensure compliance with all of the professional obligations referred to in II of Article L. 621-15 of the Monetary and Financial Code, the investment services provider shall implement the compliance policy and the procedures relative to the responsibilities of the management body laid down in Articles 22 and 25 of Commission Delegated Regulation 2017/565 of 25 April 2016.

➤ Version into force since 3 January 2018