

# **Book III - Service providers**

### **Title I - Investment services providers**

#### **Chapter II - Organisational rules**

#### Section 4 - Professional licences

Sub-section 2 - Professional licences issued by the AMF

## General regulation of the AMF

## Article 312-34 into force since 03 January 2018

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The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

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### Article 312-34

The members of the jury referred to in the first paragraph of Article 312-33 shall be:

- 1 An active compliance officer, chair;
- 2 The head of an operational function with an investment services provider;
- 3 A member of the AMF's staff.

If an applicant feels that a member of the jury has a conflict of interest with regard to him, he may ask the AMF to be examined by another jury.

Version into force since 3 January 2018