AUTORITÉ
DES MARCHÉS FINANCIERS

Book III - Service providers

Title I - Investment services providers

Chapter II - Organisational rules

Section 5 - Record keeping

General regulation of the AMF

Article 312-40 into force from 03 January 2018 to 08 March 2018

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Article 312-40

The compliance officer may listen to the recordings of telephone conversations made pursuant to Article 76 of Commission Delegated Regulation (EU) 2017/565 of 25 April 2016. If the compliance officer does not himself listen to the recording, it may not be listened to without his agreement or the agreement of a person designated by him.

Version into force since 9 March 2018

Version into force from 3 January 2018 to 8 March 2018