

Book III - Service providers

Title I - Investment services providers

Chapter IV - Conduct of business rules

Section 5 - Clients agreements

Sub-section 1 - Changes to agreements entered into before 3 January 2018

General regulation of the AMF

Article 314-10-1 into force since 09 March 2018

DISCLAIMER: Information boxes have been inserted within the General Regulation. They allow for a direct access to the relevant European regulations on the subject matter.

The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

The boxes are located at the most relevant level of the GRAMF depending on the provision of the EU regulations to which they refer (Book, Title, Chapter, Section, etc.).

This additional material is provided for information purposes only and does not constitute a regulatory instrument. The AMF shall not be held liable or responsible for any harm resulting directly or indirectly from the provision or the use of these information boxes.

Article 314-10-1

Without prejudice to the provisions of Article 314-26, investment services providers that concluded agreements with clients before 3 January 2018 shall inform such clients before that date of any changes made to comply with client disclosure obligations introduced by the provisions of the Monetary and Financial Code implementing Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and the European regulations completing this Directive and the provisions introduced by the present Book.

If no objection has been expressed by the client within a period of two months following this communication, this implies acceptance of said changes.

- **>** Version into force since 9 March 2018
- ✓ Version into force from 3 January 2018 to 8 March 2018