



Book III - Service providers

Title I ter - Asset management companies of UCITS

Chapter V - Other provisions

Section 1 - Management of inside information and restrictions to be applied within authorised asset management companies

Sub-section 2 - Watch list

General regulation of the AMF

Article 321-138 into force since 03 January 2018

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Article 321-138

The asset management company shall exercise supervision in accordance with the procedures set forth in Article 321-137. It shall take appropriate measures if it detects an anomaly.

The asset management company shall keep a record on a durable medium of the measures it has taken in the event of an anomaly or, if it takes no measures, of the reasons for so doing.

📌 Version into force since 3 January 2018