



Book III - Service providers

Title I ter - Asset management companies of UCITS

Chapter V - Other provisions

Section 3 - Miscellaneous provisions

General regulation of the AMF

Article 321-151 into force since 26 November 2020

DISCLAIMER : Information boxes have been inserted within the General Regulation. They allow for a direct access to the relevant European regulations on the subject matter.

The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

The boxes are located at the most relevant level of the GRAMF depending on the provision of the EU regulations to which they refer (Book, Title, Chapter, Section, etc.).

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Article 321-151

The provisions of Chapters III, IV and V of this Title and Article 321-1 IV shall apply to the relevant persons referred to in II of Article 321-31.

The rules adopted by the asset management company under the provisions of Chapters III, IV and V of this Title and Article 321-1 IV and applying to the relevant persons referred to in II of Article 321-31 shall constitute professional obligations for those persons.

The provisions of Chapter IV and sections 1 and 4 of Chapter V of this Title and Article 321-1 IV shall apply to the relevant persons referred to in II of Article 321-31 within the branches opened in France by asset management company authorised in other States parties to the European Economic Area agreement.

Section 2 of Chapter V of this Title shall apply to the relevant persons referred to in II of Article 321-31 within the branches opened in France by European management companies managing UCITS referred to in Article L. 532-20-1 of the Monetary and Financial Code.

✚ **Version into force since 26 November 2020**

✚ Version into force from 3 January 2018 to 25 November 2020