

Book III - Service providers

Title I ter - Asset management companies of UCITS

Chapter III - Organisational rules

Section 8 - Professional licences

Sub-section 1 - General provisions

General regulation of the AMF

Article 321-55 into force since 03 January 2018

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Article 321-55

A natural person may perform the function of compliance and internal control officer, on a probationary or temporary basis, without holding the required professional licence, for a maximum period of six months, that can be renewable once.

The function of compliance and internal control officer may only be performed on a probationary or temporary basis with the prior consent of the AMF.

∨ Version into force since 3 January 2018