AUTORITÉ
DES MARCHÉS FINANCIERS

Book III - Service providers

Title I ter - Asset management companies of UCITS

Chapter III - Organisational rules

Section 8 - Professional licences

Sub-section 2 - Compliance and internal control officer professional licence issuance

General regulation of the AMF

Article 321-65 into force since 03 January 2018

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Article 321-65

If an asset management company requires compliance and internal control officer professional licenses to be issued to several persons, the AMF shall ensure that the number of license holders is proportionate to the nature and risks of the asset management company's business activities, size and organisational structure.

Asset management companies shall provide precise written definitions of the attributions of each professional license holder.

Version into force since 3 January 2018