

Book III - Service providers

Title I ter - Asset management companies of UCITS

Chapter III - Organisational rules

Section 8 - Professional licences

Sub-section 2 - Compliance and internal control officer professional licence issuance

General regulation of the AMF

Article 321-67 into force since 03 January 2018

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The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

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Article 321-67

The members of the jury referred to in the first paragraph of Article 321-66 shall be:

- 1 A serving compliance officer, chair;
- 2 A person holding an operational function in an asset management company;
- 3 A member of the AMF staff.

If an applicant considers that a member of the jury has a conflict of interest with regard to him, he or she may ask the AMF to be examined by another jury.

> Version into force since 3 January 2018