



Book III - Service providers

Title I ter - Asset management companies of UCITS

Chapter III - Organisational rules

Section 9 - Record keeping

General regulation of the AMF

Article 321-70 into force since 03 January 2018

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Article 321-70

Asset management companies shall retain the records referred to in Article L. 533-8 and in 5 of Article L. 533-10 of the Monetary and Financial Code for at least five years.

If the asset management company's authorisation is revoked, the AMF may require said company to retain all the relevant records for the five-year period stipulated in the first paragraph.

The AMF may, in exceptional circumstances, require asset management companies to retain any or all those records for longer periods, to the extent justified by the nature of the instrument or transaction, if that is necessary to enable it to exercise its supervisory functions.

Where the UCITS is managed by a new asset management company, arrangements shall be made such that records for the past five years are accessible to that company.

⚡ **Version into force since 3 January 2018**