



Book III - Service providers

Title I ter - Asset management companies of UCITS

Chapter III - Organisational rules

Section 11 - Risk management

Sub-section 1 - Risk management policy and risk measurement

Paragraph 3 - Assessment, monitoring and review of risk management policy

General regulation of the AMF

Article 321-80 into force since 03 January 2018

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Article 321-80

The asset management company shall assess, monitor and periodically review:

- a) the adequacy and effectiveness of the risk management policy and of the arrangements, processes and techniques referred to in Articles 321-81, 411-72 and 411-73;
- b) the level of compliance by the asset management company with the risk management policy and with arrangements, processes and techniques referred to in Articles 321-81, 411-72 and 411-73
- c) the adequacy and effectiveness of measures taken to address any deficiencies in the performance of the risk management process or shortcomings in these arrangements and procedures, including any misconduct by persons concerned by the requirements of these arrangements or procedures.

✚ **Version into force since 3 January 2018**