



Book III - Service providers

Title I ter - Asset management companies of UCITS

Chapter III - Organisational rules

Section 14 - Organisation of compliance and internal control functions

Sub-section 2 - Compliance and internal control officers

General regulation of the AMF

Article 321-92 into force since 03 January 2018

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Article 321-92

Asset management companies shall establish a procedure that enables all their employees and all natural persons acting on their behalf to discuss questions they have about deficiencies that they have noted in the actual implementation of compliance obligations with the compliance and internal control officer.

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