AUTORITÉ
DES MARCHÉS FINANCIERS

Book III - Service providers

Title II - Other service providers

Chapter I - Custody account-keepers

Section unique - Provisions relating to custody account-keeping - terms of reference for the custody account-keeper

Sub-section 4 - Professional obligations of the issuer entities considered in their capacity as custody account-keepers and provisions relating to the administration of registered financial securities

Paragraph 1 - General provisions

General regulation of the AMF

Article 322-55 into force from 06 October 2014 to 22 April 2021

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Article 322-55

In the event of the change of the holder of an administered registered financial security, following the execution of an order on the financial security, the custody account-keeper intermediary in question shall forward to the central securities depositary concerned the sheet of nominative references no later than midday the second trading day following the date of execution of the order. The central securities depositary in its turn shall transmit the sheet of nominative references to the issuing entity, no later than the second trading day following the date of execution of the order, specifying the date on which it is recording the said sheet.

No later than the trading day following the receipt of the sheet of nominative references, the issuing entity shall update its accounting records. No later than the second trading day following the receipt of the sheet of nominative references, the issuing entity shall return the sheet of nominative references to the central securities depositary. This latter shall forward the sheet of nominative references to the intermediary in question no later than the trading day following the receipt of the sheet.

The date of the movements recorded by the issuing entity is the date specified by the central depository referenced in the first paragraph, on which it records the sheet.

Version into force since 23 April 2021

Version into force from 6 October 2014 to 22 April 2021