



Book III - Service providers

Title II - Other service providers

Chapter I - Custody account-keepers

Section unique - Provisions relating to custody account-keeping - terms of reference for the custody account-keeper

Sub-section 4 - Professional obligations of the issuer entities considered in their capacity as custody account-keepers and provisions relating to the administration of registered financial securities

Paragraph 1 - General provisions

General regulation of the AMF

Article 322-60 into force since 19 April 2013

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Article 322-60

For any sheet of nominative references not mentioned in Articles 322-55 and 322-56, and for which the deadline for issue does not arise from the modalities of a operation carried out at the initiative of the issuer of financial securities, the delay giving rise to a penalty for the issue of the sheet by the custody account-keeper intermediary cannot exceed three trading days following the date of the event at the origin of this issue and recorded on the sheet.

The delay giving rise to the penalty to which is subject the issuer entity which received the said sheet cannot exceed three trading days following the date of the registration mentioned in the first paragraph of Article 322-55.

↘ **Version into force since 19 April 2013**