



Book III - Service providers

Title II - Other service providers

Chapter I - Custody account-keepers

Section unique - Provisions relating to custody account-keeping - terms of reference for the custody account-keeper

Sub-section 4 - Professional obligations of the issuer entities considered in their capacity as custody account-keepers and provisions relating to the administration of registered financial securities

Paragraph 2 - Stipulations of the terms of reference of the custody account-keeper applicable to legal entities issuing financial securities via public offerings and recording the financial securities issued in pure registered accounts

General regulation of the AMF

Article 322-63 into force from 19 April 2013 to 21 November 2019

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Article 322-63

The issuer entity shall organise the procedures for processing in such a way as to guarantee the recording of the sheets of nominative references in chronological order, the complete input, the reliability and retention of the basic data, in particular that relating to the holders of accounts, to the financial securities safeguarded, to the intermediaries and to any events affecting the securities.

For financial securities not admitted to the operations of a central depository but which were issued by a public offering, the issuer entity shall retain, in chronological order, the supporting documents resulting from the professional standards in force and any modifications made to the holders' accounts.

↘ Version into force since 22 November 2019

↘ **Version into force from 19 April 2013 to 21 November 2019**