



## Book III - Service providers

### Title II - Other service providers

#### Chapter I - Custody account-keepers

##### Section unique - Provisions relating to custody account-keeping - terms of reference for the custody account-keeper

Sub-section 4 - Professional obligations of the issuer entities considered in their capacity as custody account-keepers and provisions relating to the administration of registered financial securities

Paragraph 2 - Stipulations of the terms of reference of the custody account-keeper applicable to legal entities issuing financial securities via public offerings, with the exception of those mentioned in 1 or 2 of Article L. 411-2 of the Monetary and Financial Code or in Article L. 411-2-1 of said code, which (i) record issued financial securities in "pure registered" accounts, or (ii) record issued financial securities in a distributed ledger system

## General regulation of the AMF

### Article 322-63 into force since 22 November 2019

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#### Article 322-63

The issuer legal entity shall organise the procedures for processing in such a way as to guarantee the recording of the sheets of nominative references in chronological order, the complete input, reliability and retention of the basic data, in particular that relating to the holders of accounts, to the financial securities safeguarded, to the intermediaries and to any events affecting the securities.

For financial securities not admitted to the operations of a central depository, but which were issued by an offer to the public other than those referred to in points 1° or 2° of Article L. 411-2 of the Monetary and Financial Code or Article L. 411-2-1 of said code, the issuer legal entity shall keep, in chronological order, the supporting documents resulting from the professional standards in force and any modifications made to the holders' accounts.

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✚ **Version into force since 22 November 2019**

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✚ Version into force from 19 April 2013 to 21 November 2019