



Book III - Service providers

Title II - Other service providers

Chapter I - Custody account-keepers

Section unique - Provisions relating to custody account-keeping - terms of reference for the custody account-keeper

Sub-section 4 - Professional obligations of the issuer entities considered in their capacity as custody account-keepers and provisions relating to the administration of registered financial securities

Paragraph 2 - Stipulations of the terms of reference of the custody account-keeper applicable to legal entities issuing financial securities via public offerings, with the exception of those mentioned in 1 or 2 of Article L. 411-2 of the Monetary and Financial Code or in Article L. 411-2-1 of said code, which (i) record issued financial securities in "pure registered" accounts, or (ii) record issued financial securities in a distributed ledger system

General regulation of the AMF

Article 322-70 into force since 19 April 2013

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Article 322-70

Where the issuer entity is caused to complete, on the instructions of a holder of pure registered financial securities, a transfer of a portfolio of financial securities to another custody account-keeper intermediary, in accordance with the conditions mentioned in 5° of Article 322-7, it shall, as quickly as possible, supply to the new custody account-keeper all the information which is necessary to it, particularly that relating to the precise identification about the holders concerned as well as detailed information enabling it to prepare the tax returns, in particular the information about the taxable cost base.

↘ **Version into force since 19 April 2013**