



Book III - Service providers

Title II - Other service providers

Chapter I - Custody account-keepers

Section unique - Provisions relating to custody account-keeping - terms of reference for the custody account-keeper

Sub-section 5 - Provisions relating to the keeping of securities accounts within the framework of an employee savings scheme

Paragraph 2 - Maintenance and consultation of the accounts

General regulation of the AMF

Article 322-77 into force since 19 April 2013

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Article 322-77

The custody account-keeper shall keep an account of the units in the name of each bearer. In application of Article 322-6, this account shall mention the identification information for the bearer in the name of whom it was opened and the specificities affecting the exercise of the rights of the said bearer. This identification data and the specificities shall be forwarded by the company.

A merger between two accounts held for a single bearer may only be carried out on the basis of a formal request by the company.

The closure of a bearer's account may only take place if the entirety of the assets has been liquidated and if he/she has no further rights due.

The custody account-keeper shall also keep accounts for "operations in progress" intended to receive the sums paid by the

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company or the bearers and to record the sums in the process of payment, due to the bearers.

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