

Book III - Service providers

Title II - Other service providers

Chapter V - Financial investment advisers

Section 3 - Organisational rules

General regulation of the AMF

Article 325-11-1 into force from 24 September 2014 to 07 June 2018

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The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

The boxes are located at the most relevant level of the GRAMF depending on the provision of the EU regulations to which they refer (Book, Title, Chapter, Section, etc.).

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Article 325-11-1

I. - The financial investment adviser shall inform the association of which he/she is a member of any modification of the information concerning him/her and any event which may have consequences on his/her membership as a financial investment adviser, such as a change in the place of business or the cancellation of the registration for the activity of financial investment adviser from the register mentioned in I of Article L. 546-1 of the Monetary and Financial Code. The information shall be forwarded no later than during the month which precedes the event or, when it cannot be anticipated, during the month which follows.

II. - No later than 30 April each year, the financial investment adviser shall send the association of which he/she is a member the information set out on a data sheet, in accordance with the modalities provided by an AMF instruction.

∨ Version into force from 24 September 2014 to 7 June 2018