

# **Book III - Service providers**

## **Title II - Other service providers**

#### **Chapter V - Financial investment advisers**

**Section 3 - Organisational rules** 

## **General regulation of the AMF**

### Article 325-12-4 into force from 09 March 2018 to 07 June 2018

DISCLAIMER: Information boxes have been inserted within the General Regulation. They allow for a direct access to the relevant European regulations on the subject matter.

The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

The boxes are located at the most relevant level of the GRAMF depending on the provision of the EU regulations to which they refer (Book, Title, Chapter, Section, etc.).

This additional material is provided for information purposes only and does not constitute a regulatory instrument. The AMF shall not be held liable or responsible for any harm resulting directly or indirectly from the provision or the use of these information boxes.

#### Article 325-12-4

- I. The Financial Skills Certification Board mentioned in Article 312-5 shall also issues opinions at the request of the AMF on the verification of the minimum knowledge of the people described in paragraph I of Article 325-12-2.
- II. Further to an opinion of the Financial Skills Certification Board, the AMF:
- 1° Determines the content of the minimum knowledge of the people described in paragraph I of Article 325-12-2, and publishes a description of this knowledge;
- 2° Ensures the content of minimum knowledge is updated;
- 3° Determines and verifies the ways in which minimum knowledge is verified.

- **>** Version into force from 9 March 2018 to 7 June 2018
- ∨ Version into force from 21 October 2016 to 8 March 2018