



## Book III - Service providers

### Title II - Other service providers

#### Chapter V - Financial investment advisers

##### Section 3 - Organisational rules

### General regulation of the AMF

#### Article 325-12-5 into force from 21 October 2016 to 07 June 2018

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#### Article 325-12-5

Where the financial investment adviser is a legal entity, the natural persons with the power to manage or administer this entity shall ensure that it complies with relevant laws, regulations and professional obligations.

📄 Version into force from 21 October 2016 to 7 June 2018