

Book III - Service providers

Title II - Other service providers

Chapter V - Financial investment advisers

Section 3 - Organisational rules

Sub-section 1 - General provisions

General regulation of the AMF

Article 325-19 into force since 08 June 2018

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The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

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Article 325-19

Financial investment advisers shall ensure that the people whom they employ to carry on the activity of financial investment advice meet the conditions of professional competence forest out in Article 325-1 and the good repute conditions set out in Articles L. 500-1 and D. 541-8 of the Monetary and Financial Code. Financial investment advisers shall forward to the association of which they are a member the list of these people, before they begin their activities.

- Version into force since 8 June 2018
- ✓ Version into force from 19 April 2013 to 7 June 2018