

Book III - Service providers

Title II - Other service providers

Chapter V - Financial investment advisers

Section 3 - Organisational rules

Sub-section 1 - General provisions

General regulation of the AMF

Article 325-21 into force since 08 June 2018

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The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

The boxes are located at the most relevant level of the GRAMF depending on the provision of the EU regulations to which they refer (Book, Title, Chapter, Section, etc.).

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Article 325-21

- I. The financial investment adviser shall inform the association of which it is a member of any modification of the information concerning it and any event which may have consequences on its membership as a financial investment adviser, pursuant to the second paragraph of Article L. 541-5 of the Monetary and Financial Code. The information shall be forwarded no later than during the month which precedes the event or, when it cannot be anticipated, during the month which follows.
- II. No later than 30 April each year, the financial investment adviser shall send a data sheet to the association of which it is a member.

- **>** Version into force since 8 June 2018
- Version into force from 31 December 2007 to 7 June 2018