



Book III - Service providers

Title II - Other service providers

Chapter V - Financial investment advisers

Section 3 - Organisational rules

Sub-section 1 - General provisions

General regulation of the AMF

Article 325-26 into force from 01 January 2020 to 25 November 2020

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Article 325-26

Financial investment advisors may entrust to an external organisation which can provide evidence of its ability to organise examinations, the verification of their professional knowledge or that of the physical persons under their authority or acting on their behalf and who carry out one of the functions referred to in Article 325-24 (I) ;

I. - The Financial Skills Certification Board mentioned in Article 312-5 shall also issue opinions at the request of the AMF on the certification of organisations that can prove they have the capacity to organise examinations.

II. - Further to an opinion of the Financial Skills Certification Board, the AMF:

- 1 • Determines the content of the minimum knowledge of the natural persons described in Article 325-24 (I), and publishes a description of this knowledge; It shall publish that content:
- 2 • Ensures the content of minimum knowledge is updated;

- 3 • Determines and verifies the ways in which minimum knowledge is verified.
- 4 • Certifies examinations for a two-year period within three months of the filing of applications. This deadline shall be extended as necessary until requests for further information are met.

The organisation shall provide the AMF with a report on the anniversary of the date when it was certified, and then every three years;

- 5 • The AMF shall charge an application fee when applications for certification and reports are filed.

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✎ Version into force from 26 November 2020 to 22 April 2021

✎ **Version into force from 1 January 2020 to 25 November 2020**

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