



Book III - Service providers

Title II - Other service providers

Chapter V - Financial investment advisers

Section 6 - Authorisation of representative associations

Sub-section 2 - Authorisation procedure

General regulation of the AMF

Article 325-40 into force from 08 June 2018 to 07 February 2020

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Article 325-40

Authorisation of a representative association within the meaning of Article L. 541-4 of the Monetary and Financial Code shall be subject to prior filing of an application with the AMF containing:

- 1 • The articles (statuts) of the association;
- 2 • The name, *curriculum vitae* and an extract from the judicial record (*casier judiciaire*) or equivalent document for each of the association's legal representatives;
- 3 • A three-year projected budget for the association;
- 4 • A draft code of conduct;
- 5 • The standard letter of engagement for use by members of the association;

- 6 • A description of the human and technical resources that will enable the association to meet its obligations under this Chapter;
- 7 • Written procedures that the association follows to take decisions on membership, withdrawal of membership, verifications and sanctions of members pursuant to III of Article L. 541-4 of the Monetary and Financial Code.

✎ Version into force since 8 February 2020

✎ **Version into force from 8 June 2018 to 7 February 2020**

✎ Version into force from 1 October 2014 to 7 June 2018