



## Book III - Service providers

### Title II - Other service providers

#### Chapter V bis - Crowdfunding investment advisers

##### Section 3 - Organisational rules

### General regulation of the AMF

#### Article 325-41 into force from 21 October 2016 to 07 June 2018

DISCLAIMER : Information boxes have been inserted within the General Regulation. They allow for a direct access to the relevant European regulations on the subject matter.

The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

The boxes are located at the most relevant level of the GRAMF depending on the provision of the EU regulations to which they refer (Book, Title, Chapter, Section, etc.).

This additional material is provided for information purposes only and does not constitute a regulatory instrument. The AMF shall not be held liable or responsible for any harm resulting directly or indirectly from the provision or the use of these information boxes.

#### Article 325-41

The public-access pages of the website of the crowdfunding investment adviser shall contain the following information presented in a prominent and freely-accessible manner:

The crowdfunding investment adviser must at all times have sufficient dedicated resources and procedures appropriate to the conduct of his business, and in particular:

- 1 • Appropriate technical resources;
- 2 • Secure data storage facilities.

The crowdfunding investment adviser shall set out and organise monitoring and management procedures out to the conclusion of transactions relating to offers for minibons, including in the event that the crowdfunding investment adviser ceases its activity. For this purpose, the crowdfunding investment adviser shall conclude an agreement with a payment services provider or a payment agent to cover extinction management in the event that it is no longer in a position to provide it.

It shall retain records for five years of the services provided in order to enable the AMF to verify compliance with its professional

---

↘ Version into force since 8 June 2018

---

↘ **Version into force from 21 October 2016 to 7 June 2018**