



Book III - Service providers

Title II - Other service providers

Chapter V bis - Crowdfunding investment advisers

Section 3 - Organisational rules

General regulation of the AMF

Article 325-57 into force from 08 June 2018 to 16 March 2022

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Article 325-57

Crowdfunding investment advisers must at all times have sufficient dedicated resources and procedures appropriate to the conduct of their business, and in particular:

- 1 • Appropriate technical resources;
- 2 • Secure data storage facilities.

Crowdfunding investment advisers shall set out and organise monitoring and management procedures out to the conclusion of transactions relating to offers for minibons, including in the event that the crowdfunding investment adviser ceases its activity. For this purpose, crowdfunding investment advisers shall conclude an agreement with a payment services provider or the agent of a payment service provider to cover run-off management in the event that they are no longer in a position to provide their activities.

They shall retain records for five years of the services provided in order to enable the AMF to verify compliance with their professional obligations.

✚ Version into force since 17 March 2022

✚ **Version into force from 8 June 2018 to 16 March 2022**

✚ Version into force from 1 October 2014 to 7 June 2018