



## Book III - Service providers

### Title II - Other service providers

#### Chapter V - Financial investment advisers

##### Section 2 - Conduct of business rules

##### Sub-section 4 - Knowledge about clients

## General regulation of the AMF

### Article 325-7 into force since 08 June 2018

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#### Article 325-7

Financial investment advisers shall not create any ambiguity or confusion about their responsibilities when assessing the suitability of advisory services in accordance with Point 4° of Article L. 541-8-1 of the Monetary and Financial Code. When conducting this assessment, financial investment advisers shall inform clients and potential clients, in a clear and simple manner that the reason for assessing suitability is to enable them to act in the client's best interest.

Where investment advisory services are provided in whole or in part through an automated or semi-automated system, the responsibility to undertake the suitability assessment shall lie with the financial investment adviser providing the service and shall not be reduced by the use of an electronic system in making the personal recommendation.

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✚ **Version into force since 8 June 2018**

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✚ Version into force from 31 December 2007 to 7 June 2018