



Book III - Service providers

Title II - Other service providers

Chapter VII - Investment analysts not associated with an investment service provider

Section 2 - Production and dissemination of investment research

Sub-section 2 - Establishing a code of conduct

General regulation of the AMF

Article 327-6 into force since 31 December 2007

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Article 327-6

I. - Investment analysts governed by this Chapter shall adopt a code of conduct that defines:

- 1 • The principles of integrity, independence, skill and organisation that they must comply with;
- 2 • The methodology used to produce their analyses.

The code of conduct shall be available for consultation at the investment analyst's registered office or business address. This document shall be posted on the investment analyst's website, if it has one.

II. - Investment analysts governed by this Chapter shall be exempted from the requirements in I if they belong to an industry association that is recognised by the AMF under the terms of Sub-section 3 of this Section.

↘ **Version into force since 31 December 2007**