



Book IV - Collective investment products

Title I - Undertakings for Collective Investment in Transferable Securities (UCITS)

Chapter unique - Undertakings for collective investment in transferable securities (UCITS)

Section 7 - marketing of CIS in france

Sub-section 2 - Special rules applicable to the admission to trading on a regulated market or a multilateral trading facility

General regulation of the AMF

Article 411-133 into force since 07 November 2014

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Article 411-133

I. - UCITS whose units or shares are admitted to trading on a regulated market or a multilateral trading facility under the conditions set out in Article D. 214-22-1 of the Monetary and Financial Code will make available to the public the specific information related to the admission to trading, in accordance with the conditions set out in an AMF instruction.

This information is made public before the units or shares of the UCITS are effectively admitted to trading on a regulated market or a multilateral trading facility.

A copy of the prospectus shall be sent free of charge to any person who requests it and an electronic version of the prospectus is published on the website of the management company and shall be sent to the AMF for posting on its website.

II. - The provisions of this article apply to the marketing of units or shares of UCITS referred to in Article 411-135, where they are admitted to trading on a regulated market or on a multilateral trading facility under the conditions set out in Article D. 214-22-1 of the Monetary and Financial Code.

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III. - Any person marketing units or shares of UCITS whose units or shares are admitted to trading on a regulated market or on a multilateral trading facility under the conditions set out in Article D. 214-22-1 of the Monetary and Financial Code shall ensure that investors have the information provided for in this sub-section.

✚ **Version into force since 7 November 2014**