



## Book IV - Collective investment products

### Title I - Undertakings for Collective Investment in Transferable Securities (UCITS)

#### Chapter unique - Undertakings for collective investment in transferable securities (UCITS)

##### Section 9 - Reporting to the AMF

Sub-section 1 - UCITS managed by a European investment management company

### General regulation of the AMF

#### Article 411-139 into force since 23 April 2021

DISCLAIMER : Information boxes have been inserted within the General Regulation. They allow for a direct access to the relevant European regulations on the subject matter.

The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

The boxes are located at the most relevant level of the GRAMF depending on the provision of the EU regulations to which they refer (Book, Title, Chapter, Section, etc.).

This additional material is provided for information purposes only and does not constitute a regulatory instrument. The AMF shall not be held liable or responsible for any harm resulting directly or indirectly from the provision or the use of these information boxes.

#### Article 411-139

When a UCITS is managed by an investment management company established in a European Union Member State or a State party to the Agreement on the European Economic Area other than France, the investment management company shall send the AMF the information comprised in the report provided for in Article 321-75-1 according to the same procedures, with the exception of compensation paid by the investment management company to clients who are not shareholders or unitholders of the UCITS.

➤ Version into force since 23 April 2021