

# **Book IV - Collective investment products**

#### Title I - Undertakings for Collective Investment in Transferable Securities (UCITS)

Chapter unique - Undertakings for collective investment in transferable securities (UCITS)

Section 9 - Reporting to the AMF

Sub-section 2 - Transfer agent

### **General regulation of the AMF**

## Article 411-140 into force since 23 April 2021

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#### **Article 411-140**

Pursuant to Article L. 621-8-4 of the Monetary and Financial Code, the UCITS or, where applicable, the custodian, portfolio management company or investment service provider authorised to provide one of the services mentioned in Article L. 321-1 to which the UCITS entrusts, pursuant to Article L. 214-13 of the Monetary and Financial Code, the responsibility for centralising subscription and redemption orders for its units or shares, shall, at the AMF's request, provide the AMF with daily information on subscription and redemption requests for units or shares of the UCITS that were centralised before 4 p.m. on the same day. Subscription and redemption requests that are centralised after this time shall be submitted to the AMF on the next business day.

∨ Version into force since 23 April 2021