



## Book IV - Collective investment products

### Title II - AIFS

#### Chapter I - General provisions

##### Section 1 - AIF marketing procedure

Sub-section 2 - Procedure for marketing AIFs in an EU Member State other than France

Paragraph 1 - Procedure for an asset management company to market EU AIFs to professional investors with a passport

### General regulation of the AMF

#### Article 421-14 into force from 21 December 2013 to 30 July 2021

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#### Article 421-14

The notification mentioned in I of Article L. 214-24-2 of the Monetary and Financial Code, sent by an asset management company prior to marketing units or shares of an EU AIF in an EU Member State other than France, includes the following for every AIF concerned :

- a) A notification letter comprising a programme of activity identifying the AIFs that the asset management company intends to market and information about where the AIFs are established;
- b) The AIF rules or articles of association;
- c) Identification of the depositary of the AIF;
- d) A description of, or any information on, the AIF available to investors;

e) Information on where the master AIF is established if the AIF is a feeder AIF;

f) Any additional information referred to in the second and third paragraphs of Article L. 214-24-19 of the Monetary and Financial Code, for each AIF that the asset management company intends to market ;

g) The indication of the Member State in which the asset management company intends to market the units or shares of the AIF to professional investors;

h) Information about arrangements for marketing AIFs and, where relevant, information on the arrangements established to prevent units or shares of the AIF from being marketed to retail investors, including in the case where the asset management company relies on independent entities to provide investment services in respect of the AIF.

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📄 Version into force since 31 July 2021

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