



Book IV - Collective investment products

Title II - AIFS

Chapter I - General provisions

Section 1 - Procedure for marketing and pre-marketing of AIFs

Sub-section 1 - Marketing procedure in France

Paragraph 1 - Procedure for marketing AIFs with a passport to professional investors in France

Sub-paragraph 1 - Procedure for marketing EU AIFs managed by an asset management company

General regulation of the AMF

Article 421-3 into force since 21 December 2013

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Article 421-3

In the event of a material change to any of the particulars communicated in accordance with I of Article L. 214-24-1 of the Monetary and Financial Code, the asset management company shall give written notice of that change to the AMF at least one month before implementing the change, as regards any changes planned by the asset management company, or immediately after an unplanned change.

If, pursuant to a planned change, the asset management company's management of the AIF would no longer comply with the provisions applicable to asset management companies, the AMF shall inform the asset management company without undue delay that it is not to implement the change.

If a planned change is implemented notwithstanding the first and second paragraphs, or if an unplanned change has taken place pursuant to which the asset management company's management of the AIF no longer complies with the provisions applicable to

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asset management companies, the AMF shall take all due measures in accordance with its powers provided for in Books V and VI, including, if necessary, the express prohibition to market the AIF.

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