

Book V - Market infrastructures

Title I - Regulated markets and market operators

Chapter VI - Special provisions for certain markets

Section 4 - Provisions applicable to certain compartments

General regulation of the AMF

Article 516-6 into force since 22 November 2019

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Article 516-6

Financial instruments admitted to trading in the compartment referred to in Article 516-5 may not be acquired by an investor other than a qualified investor, within the meaning of point 1° of Article L. 411-2 of the Monetary and Financial Code, unless such investor takes the initiative to do so and has been duly informed by the investment services provider about the characteristics of this compartment.

Where the sale concerns securities other than equity securities, the securities are not sold on to non-qualified investors, unless a prospectus that is appropriate for non-qualified investors is drawn up in accordance with Article 5 (2) of Regulation (EU) n° 2017/1129 of 14 June 2017.

- **>** Version into force since 22 November 2019
- ∨ Version into force from 9 March 2018 to 21 November 2019
- ∨ Version into force from 3 January 2018 to 8 March 2018