

## **Book V - Market infrastructures**

### **Title II - Multilateral trading facilities**

Chapter III - Supervision of the functioning of the mtf and its members

Section 1 - Issuance of professional licences to some members of staff

# **General regulation of the AMF**

# Article 523-1 into force since 03 January 2018

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#### Article 523-1

The MTF manager shall appoint a person or persons to be responsible with the following:

- 1 supervision of trading;
- 2 monitoring of MTF members.

Where managing an MTF is not its sole business, the investment services provider shall appoint a person other than the compliance officer to perform the functions referred to in Points 1° and 2°.

The market operator that manages an MTF may appoint the person or persons referred to in Article 512-8 to perform these functions in connection with the management of an MTF.

**>** Version into force since 3 January 2018