



Book V - Market infrastructures

Title VI bis - Provisions applicable to central depositaries of financial instruments and to settlement and delivery systems for financial instruments approved under regulation (EU) no. 909/2014 (29/10/2018)

Chapter unique - Central depositaries and financial instrument payment and delivery systems

Section 3 - Issuance of professional licences to certain members of the central depository's staff

General regulation of the AMF

Article 560-5 bis into force from 29 October 2018 to 10 September 2019

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The user will be redirected to the European regulations as initially published in the Official Journal of the European Union and to the subsequent corrigenda, if any. The AMF does not guarantee the completeness of the redirections to these European regulations and corrigenda.

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Article 560-5 bis

The person or persons referred to in Article 560-4a shall draw up a yearly report on the conditions in which they carry out their duties. This report is submitted to the central depository's executive body and to the AMF no later than four months after the end of the financial year.

The report includes:

- 1 • A description of how monitoring and supervision are organised;
- 2 • A description of the tasks performed in carrying out these duties;
- 3 • Any observations made by the supervisor;
- 4 • Measures taken as a result of such observations.

✚ Version into force since 11 September 2019

✚ **Version into force from 29 October 2018 to 10 September 2019**