



AUTORITÉ
DES MARCHÉS FINANCIERS

Print from the website of the AMF

Reference (eg. DOC-2020-02), keywords...



III - Providers

III. 1 - Investment services providers

III. 1.1. Authorisation / Programme of operations / Passport

Applicable from 28 March 2024

Instruction DOC-2008-03

This page is not available in English at the moment

Archives



From 16 February 2023 to 15 May 2023 | Instruction
DOC-2008-03

**Authorisation procedure for investment management companies,
disclosure obligations and passporting**

The AMF gives details of the authorisation procedures and process for
investment management companies, certain disclosure obligations and

passporting procedures for French investment management companies and foreign management companies.

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Reference texts

Articles 316-3 to 316-5, 316-10, 318-1, 319-26, 321-2 to 321-4, 321-8, 321-75 and 321-130 of the General Regulation [↗](#)

▼ Annexes

Management company authorisation & changes: Authorisation application forms: annexes I to IV of AMF instruction DOC-2008-03 [↗](#)

Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-2008-03 [↗](#)

Notification forms for carrying on a business activity under the freedom to provide services or the freedom of establishment in another Member State: Annexes 6.1 and 6.2 of AMF Instruction DOC-2008-03 [↗](#)

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Authorisation application forms: Annexes 1.1 to 3.2 of AMF Instruction

📄 [DOC-2008-03](#)

Additional application forms: Annexes 1.2 to 1.9 of AMF Instruction

📄 [DOC-2008-03](#) [↗](#)

Changes to management companies: Annex 4 of AMF Instruction DOC-

📄 [2008-03](#) [↗](#)

Management company passports: Annexes 6.1 and 6.2 of AMF

📄 [Instruction DOC-2008-03](#) [↗](#)

Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-

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Changes to management companies: Annex 4 of AMF Instruction DOC-

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Authorisation procedure for investment management companies, disclosure obligations and passporting

The AMF gives details of the authorisation procedures and process for investment management companies, certain disclosure obligations and passporting procedures for French investment management companies and foreign management companies. This document has not been updated for the laws and regulations that transpose MIF 2 and legally separate investment firms from asset management companies. The update will take place in the near future.

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Articles 311-1 to 311-3, 311-7, 311-7-1, 313-53-1, 314-98, 319-26,

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Additional application forms: Annexes 1.2 to 1.9 of AMF Instruction

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↘ Article 311-2 of the General Regulation [↗](#)

↘ Article 311-3 of the General Regulation [↗](#)

↘ Article 311-7 of the General Regulation [↗](#)

- [Article 311-7-1 of the General Regulation](#)
- [Article 313-53-1 of the General Regulation](#)
- [Article 316-3 of the General Regulation](#)
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- [Article 316-5 of the General Regulation](#)
- [Article 316-10 of the General Regulation](#)
- [Article 318-1 of the General Regulation](#)

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