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Reference (eg. DOC-2020-02), keywords...



III - Providers

III. 1 - Investment services providers

III. 1.2. Organisational rules

Applicable from 6 June 2021[Print](#)

Position DOC-2021-04

Compliance function requirements

Document

Summary

The AMF applies all the guidelines issued by ESMA on "certain aspects of the MiFID II compliance function requirements" (ESMA-35-36-1952).

 **Download policy**

Reference texts

➤ Article L. 533-10 II 2° of the Monetary and Financial Code [↗](#)

➤ Article 312-1 of the AMF General Regulation

Article 22 of Commission Delegated Regulation (EU) 2017/565 of 25

➤ April 2016 [↗](#)

▼ **Annexes**

Guidelines on certain aspects of the MiFID II

➤ compliance function requirements [↓](#)

Keywords

ASSET MANAGEMENT

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