AUTORITÉ DES MARCHÉS FINANCIERS

Print from the website of the AMF

Reference (eg. DOC-2020-02), keywords...

II - Investment products

II. 1 - Collective investment schemes (CISs)

II. 1.3. Specific provisions applicable to CISs open to all subscribers

II. 1.3.2. Specific provisions applicable to Funds open to non-professional investors

Applicable from 28 March 2024

Instruction DOC-2011-20

This page is not available in English at the moment

Archives

From 01 September 2021 to 05 October 2022 | Instruction DOC-2011-20

Authorisation procedures, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of

alternative funds and professional alternative investment funds

Instruction DOC-2011-20 details the rules applicable to retail investment funds, funds of alternative funds and professional retail investment funds as to the authorisation process and the preparation of a key investor information document (KIID) and a prospectus and periodic reporting. In particular, it provides details about the creation of these funds, changes to them during their life (subject to pre-approval/subject to ex-post notification) and the procedures for notifying investors and the AMF.

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Reference texts

Articles 421-1, 421-13, 421-33, 421-34, 421-38, 422-7, 422-11, 422-16, 422-17, 422-250 and 423-1 of the AMF General Regulation

Links

Commission Regulation (EU) No 583/2010

From 10 July 2020 to 15 March 2021 | Instruction DOC-2011-20

Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds

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From 07 May 2020 to 09 July 2020 | Instruction DOC-2011-20

Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds

Instruction DOC-2011-20 details the rules applicable to retail investment funds, funds of alternative funds and professional retail investment funds as to the authorisation process and the preparation of a key investor information document (KIID) and a prospectus and periodic reporting. In particular, it provides details about the creation of these funds, changes to them during their life (subject to pre-approval/subject to ex-post notification) and the procedures for notifying investors and the AMF.

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Reference texts

Articles 421-1, 421-13, 421-33, 421-34, 422-7, 422-11, 422-16, 422-17, 422-250 and 423-1 of the General Regulation

Links

Commission Regulation (EU) No 583/2010

From 11 March 2020 to 06 May 2020 | Instruction DOC-2011-20

Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds

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Reference texts

Articles 421-1, 421-13, 421-33, 421-34, 422-7, 422-11, 422-16, 422-17, 422-250 and 423-1 of the General Regulation

Links

Commission Regulation (EU) No 583/2010

From 26 November 2019 to 10 March 2020 | Instruction DOC-2011-20

Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds

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Reference texts

Articles 421-1, 421-13, 421-33, 421-34, 422-7, 422-11, 422-16, 422-17, 422-250 and 423-1 of the AMF General Regulation

Links

Commission Regulation (EU) No 583/2010

From 26 April 2018 to 25 November 2019 | Instruction DOC-2011-20

Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds

Instruction DOC-2011-20 details the rules applicable to retail investment funds, funds of alternative funds and professional retail investment funds as to the authorisation process and the preparation of a key investor information document (KIID) and a prospectus and periodic reporting. In particular, it provides details about the creation of these funds, changes to them during their life (subject to pre-approval/subject to ex-post notification) and the procedures for notifying investors and the AMF. This document has not been updated for the laws and regulations that transpose MIF 2 and legally separate investment firms from asset management companies. The update will take place in the near future.

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Reference texts

Articles 421-1, 421-13, 421-33, 421-34, 422-7, 422-11, 422-16, 422-17, 422-250 and 423-1 of the AMF General Regulation

Links

Commission Regulation (EU) No 583/2010

From 15 March 2017 to 25 April 2018 | Instruction DOC-2011-20

Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds

Instruction DOC-2011-20 details the rules applicable to retail investment funds, funds of alternative funds and professional retail investment funds as to the authorisation process and the preparation of a key investor information document (KIID) and a prospectus and periodic reporting. In particular, it provides details about the creation of these funds, changes to them during their life (subject to pre-approval/subject to ex-post notification) and the procedures for notifying investors and the AMF.

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Reference texts

Articles 421-1, 421-13, 421-33, 421-34, 422-7, 422-11, 422-16, 422-17, 422-250 and 423-1 of the AMF General Regulation

Links

Commission Regulation (EU) No 583/2010

From 08 November 2016 to 14 March 2017 | Instruction DOC-2011-20

Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds

Instruction DOC-2011-20 details the rules applicable to retail investment funds, funds of alternative funds and professional retail investment funds as to the authorisation process and the preparation of a key investor information document (KIID) and a prospectus and periodic reporting. In particular, it provides details about the creation of these funds, changes to them during their life (subject to pre-approval/subject to ex-post notification) and the procedures for notifying investors and the AMF.

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Reference texts

Articles 421-1, 421-13, 421-33, 421-34, 422-7, 422-11, 422-16, 422-17, 422-250 and 423-1 of the AMF General Regulation

Links

Commission Regulation (EU) No 583/2010

From 01 December 2015 to 07 November 2016 | Instruction DOC-2011-20

Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds

Instruction DOC-2011-20 details the rules applicable to retail investment funds, funds of alternative funds and professional retail investment funds as to the authorisation process and the preparation of a key investor information document (KIID) and a prospectus and periodic reporting. In particular, it provides details about the creation of these funds, changes to them during their life (subject to pre-approval/subject to ex-post notification) and the procedures for notifying investors and the AMF.

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Reference texts

AMF General Regulation

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Commission Regulation (EU) No 583/2010

From 08 January 2015 to 30 November 2015 | Instruction DOC-2011-20

AMF instruction – Authorisation processes, preparation of a KIID and a prospectus and periodic reporting for retail investment funds, funds of alternative funds and professional retail investment funds

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Reference texts

AMF General Regulation

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COMMISSION REGULATION (EU) No 583/2010 of 1 July 2010

From 23 May 2014 to 07 January 2015 | Instruction DOC-2011-20

Procédures d'agrément, établissement d'un DICI et d'un prospectus et information périodique des fonds d'investissement à vocation générale, fonds de fonds alternatifs et fonds professionnels à vocation générale

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Keywords PERIODIC & ONGOING DISCLOSURES | AIFMD

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