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Reference (eg. DOC-2020-02), keywords...



## III - Providers

### III. 3 - Anti-money laundering and combating the financing of terrorism

**Applicable from 24 November 2021**[Print](#) [Download](#)

Position DOC-2019-14

## Guidelines on risk factors

### Document

### Summary

The AMF applies the European Supervisory Authorities' Joint Guidelines, which explain the relevant ML/TF risk factors that financial sector professionals should consider to best adjust the scope of their customer due diligence measures. Chapters 8 and 9 of Title III of the Guidelines include sectoral developments relating to individual asset management and investment advisory services, as well as collective management.

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## Reference texts

- Articles L. 561-4-1, L. 561-9 and L. 561-10-1 of the French Monetary and Financial Code (in french only) [↗](#)
- Article 320-19 of the General Regulation
- Article 321-146 of the General Regulation

## Archives

- ✓ From 29 November 2019 to 23 November 2021 | Position DOC-2019-14

### Guidelines on risk factors

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## Reference texts

- Articles L. 561-4-1, L. 561-9 and L. 561-10-1 of the French Monetary and Financial Code (in french only) [↗](#)
- Articles 320-19, 321-146 and 550-9 of the General Regulation

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