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Reference (eg. DOC-2020-02), keywords...



## II - Investment products

### II.1 - Collective investment schemes (CISs)

#### II.1.3. Specific provisions applicable to CISs open to all subscribers

##### II.1.3.4. Specific provisions applicable to employee investment undertakings

Applicable from 1 September 2021

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Instruction DOC-2011-21

### Authorisation procedures, preparation of a KIID and a prospectus, and reporting for employee investment undertakings

#### Document

#### Summary

Instruction DOC-2011-21 outlines the rules that apply to employee investment undertakings (FCPE or SICAVAS) regarding the authorisation process, the drawing up of a key investor information document (KIID) and prospectus, and periodic disclosure. Information is provided about the

formation of an employee investment undertaking, any changes during the life of an employee investment undertaking (changes subject to pre-approval or changes subject to ex-post notification) and the means by which information is provided to subscribers and the AMF.


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### Reference texts

↘ [Articles 424-1 et seq. of the AMF General Regulation](#) 

### ▼ **Links**

Commission Regulation (EU) No 583/2010 of 1 July

↘ [2010](#) 

## Archives

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### **Authorisation procedures, preparation of a KIID and a prospectus, and reporting for employee investment undertakings**

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life of an employee investment undertaking (changes subject to pre-approval or changes subject to ex-post notification) and the means by which information is provided to subscribers and the AMF. This document has not been updated for the laws and regulations that transpose MIF 2 and legally separate investment firms from asset management companies. The update will take place in the near future.

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### Reference texts

📄 Articles 424-1 et seq. of the AMF General Regulation [↗](#)

### Links

📄 Commission Regulation (EU) No 583/2010 of 1 July 2010 [↗](#)

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