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Reference (eg. DOC-2020-02), keywords...



III - Providers

III.1 - Investment services providers

III.1.3. Rules of conduct

Applicable from 18 October 2018

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Instruction DOC-2007-02

Investment decision and order execution support services

Document

Summary

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Archives



- ✓ From 20 November 2013 to 17 October 2018 | Instruction DOC-2007-02

Investment decision and order execution support services

This Instruction specifies the perimeter of services for aid in making investment decisions and for order execution, and lists the services that are not considered as services for aid in making investment decisions and for order execution (for example portfolio assessment, safekeeping and administration of financial instruments, registering for seminars, etc.).

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Reference texts

- ↘ [Article 314-79 of the General Regulation](#) 
- ↘ [Article 319-14 of the General regulation](#) 

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