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Reference (eg. DOC-2020-02), keywords...



II - Investment products

II.1 - Collective investment schemes (CISs)

II.1.1. Common provisions applicable to UCITS and CISs

Applicable from 5 March 2021

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Position - Recommendation DOC-2011-25

A guide to the monitoring of collective investment undertakings

Document

Summary

This guide provides a reminder of how certain aspects of the regulations governing CIS should be applied, such as control procedures, maturity management, side pocket funds, compensation procedures, calculating exposure and overall risk, and information for investors (in relation to mergers and in relation to the guaranteed amount of their investment) as well as the monitoring of ETFs.

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Articles L. 214-3, L. 214-15, L. 214-7-4, L. 214-8-7, L. 214-24-15, L. 214-24-33, L. 214-24-41, L. 214-190-2-1, L.214-190-3-1, R. 214-15, R. 214-32-23, R. 214-15-1, R. 214-16, R. 214-22 and D.214-22-1, D. 214-5, D. 214-32-12, D. 214-32-15, D.214-32-31 of the Monetary and Financial

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Article 44 (3) of Commission Regulation (EU) 2017/565 of 25 April

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