AUTORITÉ DES MARCHÉS FINANCIERS

Print from the website of the AMF

Reference (eg. DOC-2020-02), keywords...

III - Providers

III. 1 - Investment services providers

III. 1.1. Authorisation / Programme of operations / Passport

Applicable from 23 June 2021

Instruction DOC-2008-03

This page is not available in English at the moment

Archives

From 11 January 2021 to 22 June 2021 | Instruction DOC-2008-03

Authorisation procedure for investment management companies, disclosure obligations and passporting

The AMF gives details of the autorisation procedures and process for investment management companies, certain disclosure obligations and

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Annexes

Authorisation application forms: Annexes 1.1 to 3.2 of AMF Instruction DOC-2008-03

Additional application forms: Annexes 1.2 to 1.9 of AMF Instruction DOC-2008-03

Changes to management companies: Annex 4 of AMF Instruction DOC-2008-03

Management company passports: Annexes 6.1 and 6.2 of AMF Instruction DOC-2008-03

Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-2008-03

From 31 January 2018 to 08 May 2018 | Instruction DOC-2008-03

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Management company passports: Annexes 6.1 and 6.2 of AMF Instruction DOC-2008-03

Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-2008-03

From 01 January 2018 to 30 January 2018 | Instruction DOC-2008-03

Authorisation procedure for investment management companies, disclosure obligations and passporting

The AMF gives details of the autorisation procedures and process for investment management companies, certain disclosure obligations and passporting procedures for French investment management companies and foreign management companies.This document has not been updated for the laws and regulations that transpose MIF 2 and legally separate investment firms from asset management companies. The update will take place in the near future.

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Reference texts

Articles 311-1 to 311-3, 311-7, 311-7-1, 313-53-1, 314-98, 319-26, 316-3 to 316-5, 316-10 and 318-1 of the AMF General Regulation

Annexes

Authorisation application forms: Annexes 1.1 to 3.2 of AMF Instruction DOC-2008-03

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Management company passports: Annexes 6.1 to 6.3 of AMF Instruction DOC-2008-03

Major shareholding disclosure form: Annex 5 of AMF Instruction DOC-2008-03

From 27 November 2017 to 31 December 2017 | Instruction DOC-2008-03

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Changes to management companies: Annex 4 of AMF Instruction DOC-2008-03

Management company passports: Annexes 6.1 to 6.3 of AMF Instruction DOC-2008-03

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Reference texts

- Article 311-1 of the General Regulation
- Article 311-2 of the General Regulation
- Article 311-3 of the General Regulation
- Article 311-7 of the General Regulation
- Article 311-7-1 of the General Regulation
- Article 313-53-1 of the General Regulation
- Article 316-3 of the General Regulation

- Article 316-4 of the General Regulation
- Article 316-5 of the General Regulation
- Article 316-10 of the General Regulation
- Article 318-1 of the General Regulation

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