

File for the de-notification of arrangements for marketing in a Member State of the European Union other than France of units or shares in a UCITS of the European Union (including French) managed by a portfolio asset management company authorised in France

This document is Annex XVIIIa to AMF Instruction DOC-2011-19 on authorisation procedures, preparation of a KIID and a prospectus and periodic reporting for French and foreign UCITS marketed in France.

Name of the asset management company:

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Address of the asset management company:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Contact details of the contact person in charge of the de-notification within the asset management company:

De-notification date[[1]](#footnote-1)

Do(es) the UCITS have compartments ?

Yes  No

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Name of the UCITS, and/or compartments or classes of shares subject to de-notification in (a) Member State(s) where a notification has been made. | Numerical code[[2]](#footnote-2) of the UCITS | Legal status (SICAV, unit trust, etc.) | Name and address of the depositary of each UCITS | Name of the Master UCITS and its home State (where applicable) | Member State(s) concerned by the marketing de-notification | Date of the initial notification of marketing |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

Documents to be attached to the file for each UCITS, in accordance with Article 411-138-1[[3]](#footnote-3) of the AMF General Regulation (you are reminded that any incomplete applications will be deemed inadmissible):

1. The information addressed, directly or through financial intermediaries, individually to all investors in that Member State whose identity is known about the blanket offer to repurchase or redeem, free of any charges or deductions, all such UCITS units or shares identified in the notification and held by investors in that Member State.

2. The information, made public by means of a publicly available medium, including by electronic means, which is customary for marketing UCITS and suitable for a typical UCITS investor regarding the intention to terminate the arrangements made for marketing units or shares of some or all of the UCITS in that Member State.

3. Certificate of the modification or the termination with effect from the date of de-notification of any contractual arrangements with financial intermediaries or delegates in order to prevent any new or further, direct or indirect, offering or placement of the UCITS or shares identified in this notification.

1. Effective date on which all contractual arrangements with financial intermediaries or delegates are modified or terminated in order to prevent any new or further, direct or indirect, offering or placement of the units or shares on the UCITS identified in the notification. [↑](#footnote-ref-1)
2. Where applicable (AMF identifier or ISIN code, for example) [↑](#footnote-ref-2)
3. You are reminded that pursuant to Article 411-138-1 of the AMF General Regulation, the information referred to in points 1 and 2 must:

   - clearly describe the consequences for investors if they do not accept the offer to redeem or repurchase their units;

   - shall be provided in the official language or one of the official languages of the Member State in respect of which the UCITS has made a notification, in accordance with Article L. 214-2-1 of the Monetary and Financial Code or in a language approved by the competent authorities of that Member State. [↑](#footnote-ref-3)