

File for the de-notification of arrangements for marketing in a Member State of the European Union other than France of units or shares of European Union AIFs (French AIFs included) managed by a PORTFOLIO asset management company authorised in France

This document is Annex 4-1 to AMF Instruction DOC-2014-03 - Procedures for pre-marketing or marketing units or shares of AIFs

DE-NOTIFICATION OF INTENTION TO MARKET UNITS OR SHARES OF AN AIF or AIFs OF THE SAME AIFM IN A MEMBER STATE OTHER THAN FRANCE

The information provided in this notification letter should not be ambiguous

Contact details at the AIFM (registered address of the AIFM):

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Registered address of the AIFM:

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Contact détails of the person in charge of the de-notification within the AIFM:

De-notification date[[1]](#footnote-1) :

Does the AIF(s) have compartments? Yes  No

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Name of the AIF or AIFs/or compartment(s) subject to the de-notification | AIF home Member State | Legal form (common fund, unit trust, investment company, partnership, other (please specify)) | Name of the depositary of the AIF[[2]](#footnote-2) | Name of the master AIF/or compartment(s) (if applicable) | Home Member State of the master AIF (if applicable) | AIF’s investment strategy (please specify the predominant AIF and the breakdown by investment strategies as set out in the reporting template included in Regulation 231/2013) | Member State in which the de-notification is requested | Initial date of the marketing notification |
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**Documentation and information to be provided for each AIF (it is reminded that any incomplete notification is inadmissible)**

Information addressed, directly or through financial intermediaries, individually to all investors in that Member State whose identity is known about the blanket offer made to repurchase or redeem, free of any charges or deductions, all such AIF units or shares held by investors in that Member State which is also is publicly available for at least 30 working days, except in the case of closed-ended AIFs and funds regulated by Regulation (EU)2015/760 of the European Parliament and of the Council.

Information made public by means of a publicly available medium, including by electronic means, which is customary for marketing AIFs and suitable for a typical AIF investor about the intention to terminate arrangements made for marketing units or shares of some or all of its AIFs in that Member.

Certificate of the modification or the termination with effect from the date of de-notification of any contractual arrangements with financial intermediaries or delegates in order to prevent any new or further, direct or indirect, offering or placement of the AIFs units or shares identified in the notification referred to herein.

1. *Effective date of the modification or the termination of any contractual arrangements with financial intermediaries or delegates in order to prevent any new or further, direct or indirect, offering or placement of the AIF’s identified in the notification referred to herein.* [↑](#footnote-ref-1)
2. *Information on the depositary of the AIF should include the address of the depositary.* [↑](#footnote-ref-2)