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10 January 2023

Our priorities for Action and Supervision

Each year, the AMF publishes its action and supervisory priorities. In 2023, our focus will be on four main areas: promote finance that meets the new expectations of retail investors, take up European and international challenges, develop the regulatory framework for sustainable finance and combat greenwashing, ensure robust and efficient supervision. Read the details of our priorities for 2023.

Our priorities for action

Promote finance that meets the new expectations of retail investors

We will work to enhance financial education by using simple language and disseminating publications via targeted channels. We will take action on the marketing of high-risk offers and increase the impact of public action on their dissemination by influencers and social media. We will investigate the investment vehicle dysfunctions reported by retail investors and propose solutions to resolve them.

Take up European and international challenges

We will participate in European work aiming at improving financial market resilience and efficiency. We will prepare market participants for the introduction of the European regulations on digital finance. We intend to act as a driving force in promoting European

supervisory convergence. We will take a position on new European issues giving priority to investor protection.

Develop the regulatory framework for sustainable finance and combat greenwashing

We will support issuers in implementing the European Taxonomy and set up the new sustainability reporting standards. We will clarify the existing regulatory requirements and specify the operational implications for financial market participants in order to ensure their proper implementation and prevent greenwashing. We will promote the quality of sustainable finance information and ensure the satisfactory quality of the non-financial information published by the market participants involved. Lastly, we will encourage the training of financial intermediaries and advisers and promote retail investors' financial education in terms of sustainability.

Ensure robust and efficient supervision

We will continue to modernise the modernisation the relations with the Paris financial market participants and prepare the AMF's roadmap for the period 2023-2027. We will also continue to develop enforcement policy and tools to keep pace with changing behaviours. We aim at strengthening the use of data processing to support the functional units. Lastly, we will develop the AMF's attractiveness and mobilise its talents.



Our supervisory priorities

In 2023, our thematic monitoring and oversight priorities will concern:

- For asset management companies: cybersecurity arrangements, with special focus on the processes and procedures in place for external IT service providers; financial management delegations, and more specifically the governance and human resources of investment management companies and risk control systems; application of SFDR; the quality of reporting data; environmental, social and governance (ESG) reporting of benchmark administrators;
- For market intermediaries and infrastructures: the quality of post-trade transparency data on bonds (MIFIR), and transaction data from reporting on derivatives (EMIR) and securities financing transactions (SFTR); provision of market data by trading venues; cross-border activities;
- For marketing and advisory players: marketing in distributor banking networks and compliance with the rules laid down in the Markets in Financial Instruments Directive

(MiFID), with special focus on the online customer journey; marketing materials; investor claims handling; supervision of financial investment advisers, with special attention paid to the marketing of atypical, risky products or products that are banned from being marketed in France.


Read more

- ✚ Action priorities of the Autorité des marchés financiers for 2023
- ✚ Supervisory priorities of the Autorité des marchés financiers for 2023
- ✚ The AMF has published its action and supervisory priorities for 2023
- ✚ Monitoring and Surveillance
- ✚ Committing to sustainable finance

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AMF NEWS RELEASE

STRATEGY

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The AMF has published its action and supervisory priorities for 2023



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