AUTORITÉ DES MARCHÉS FINANCIERS

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09 December 2019

Summary of SPOT inspections on EMIR reporting governance

As part of its new Supervision#2022 strategy, the AMF announced its intention to conduct short, theme-based inspections known as “SPOT” (Supervision des Pratiques Opérationnelle et Thématique – operational and thematic supervision of practices) inspections, as well as its willingness to share the lessons learned from these exercises. It is now delivering a review of these short inspections carried out in 2019 with five investment service providers, excluding asset management companies, on the subject of EMIR reporting governance (mandatory reporting of transactions to trade repositories).

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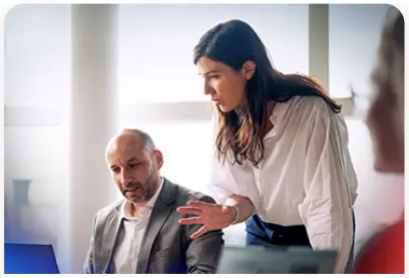

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Legal information:
Head of publications: The Executive Director of AMF Communication Directorate. Contact: Communication Directorate – Autorité des marches financiers 17 place de la Bourse – 75082 Paris cedex 02