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Act as Benchmark administrator

You are a natural or legal persons located in France and you wish to act as administrators? Find below all information to apply to an AMF's authorisation or registration

The regulations, in short

Natural and legal persons located in France that wish to act as administrators must apply to AMF for authorisation or registration. Procedures are described in article 34 of the Benchmarks Regulation. AMF must issue a decision on authorisation requests within four months of receipt of a complete file, and on registration requests within forty-five days of receipt of a complete file.

The type of application will depend on the importance of the benchmarks provided and on the status of the applicant, as shown below.

Category of benchmark provided	Entity supervised by AMF (investment firm, AMC, market operator, etc.)	Entity not supervised by AMF
At least one critical benchmark	Authorisation	Authorisation
At least one significant benchmark but no critical benchmark	Registration unless benchmark provision is prohibited under sector regulations applying to the entity	Authorisation
Non-significant benchmarks only	Registration	Registration

Obtaining an autorisation or registration

Entities supervised by AMF

Investment firms, asset management companies and market operators wishing to apply for authorisation or registration as a benchmark administrator must first contact their portfolio manager before posting a hard copy of their authorisation or registration request file to AMF.

The file must include the information listed in annex I or, where relevant, annex II to the <u>delegated regulation</u> URL = [https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/? uri=CELEX:32018R1646&from=FR] of 13 July 2018.

- Details for investment firms are shown in instruction DOC-2014-01.
- Details for asset management companies are shown in instruction <u>DOC-2008-03</u>.

Entities not supervised by AMF

Entities that are not supervised by AMF but wish to apply for authorisation or registration as a benchmark administrator must contact AMF at benchmark@amf-france.org URL = [mailto:benchmark@amf-france.org] before they submit their file.

The file must then be e-mailed to AMF at the same address and also posted to it in hard copy. The file must include the information listed in annex I or, where relevant, annex II to the <u>delegated regulation</u> URL = [https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/? uri=CELEX:32018R1646&from=FR] of 13 July 2018.

My contribution due to the AMF

See the guide on fees and contributions due to the AMF URL = [https://www.amffrance.org/fr/guide-relatif-aux-droits-et-contributions-dus-lamf] (in French only)

MY CONTACT AT THE AMF

Asset Management Directorate
benchmark@amf-france.org

Read more

→ Benchmark administrators

Regulation (EU) 2016/1011 of the European Parliament and of the Council of 8

- ☑ Questions and Answers on the Benchmarks Regulatio

AMF Instruction DOC-2014-01 - Programme of activity, obligations incumbent on

☑ investment services providers, passport notification

AMF Instruction DOC-2008-03 - Authorisation procedure for investment

→ management companies, disclosure obligations and passporting

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Legal information:

Head of publications: The Executive Director of AMF Communication Directorate. Contact: Communication Directorate - Autorité des marches financiers 17 place de la Bourse - 75082 Paris cedex 02